

OHM Forex Pvt Ltd – Compliance Structure

Registered Office: 48/49, Patel Market, Rajpur Road, Dehradun, Uttarakhand – 248001

RBI Licensed FPMC

1. Designated Director (RBI-mandated Role)

Name: Mrs. Jyoti Gandhi

- Ultimate responsibility for compliance with the obligations under the PMLA, 2002
- Oversight of compliance and reporting to the Board/RBI
- Ensure risk-based approach is embedded in business processes

2. Principal Officer (RBI-mandated Role)

Name: Mr. Ashwani Kumar Gandhi

- Liaison with FIU-IND and enforcement authorities
- Reporting STRs, CTRs, and other suspicious activities
- Ensuring implementation of internal AML/CFT policies

3. Compliance Officer

Name: Mrs. Jyoti Gandhi

- Oversee day-to-day regulatory compliance across branches
- Maintain internal compliance checklist and registers
- Monitor KYC, CDD, and record-keeping obligations

4. Assistant Compliance Officer

Name: Ms. Anjali Rawat

- Assist in compiling and filing regulatory reports
- Support in training staff on compliance updates
- Act as Interim Authorized Signatory in absence of both Directors
- Manage internal audits and branch review reporting

5. Original Seen and Verified of Document (OSV) Staff

Names:

- Mr. Manoj Bhatt
- Mr. Milan Gusain
- Conduct physical verification of KYC documents
- Ensure proper document collection and authenticity checks
- Report discrepancies to Compliance Team

6. Training Coordinator

Name: Mrs. Jyoti Gandhi

- Conducting monthly training under KYC/AML/CFT/FATF compliances along with training of software and RBI guidelines as per Master Direction.

7. Reporting Responsibilities

Report	Responsible	Timeline
STR / CTR to FIU-IND	Principal Officer	Within 7 days of suspicion
Quarterly FC Account Statement	Compliance Officer	Within 15 days of quarter-end
Annual Compliance Certificate	Designated Director	By 30th June each year
Branch-wise Internal Audit	Assistant Officer	Quarterly
KYC/AML Training	Training Coordinator	Twice a year